



PATENT

ATTORNEY DOCKET NO. 10502/002001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : McKerracher et al. Art Unit: 1651
Serial No.: 09/184,572 Examiner:
Filed : November 2, 1998
Title : RHO FAMILY ANTAGONISTS AND THEIR USE TO BLOCK
INHIBITION OF NEURITE OUTGROWTH

Assistant Commissioner for Patents
Washington, DC 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(b) mailed November 16, 1998 (a copy of which is enclosed), Applicant as a small entity submits herewith the following:

Payment of the basic filing fee of \$380.00. Submitted herewith are Declarations of Small Entity Status and a Preliminary Amendment cancelling originally filed claims 1-21, and adding new claims 22-31.

Two Combined Declaration and Power of Attorney forms in compliance with 37 CFR 1.63.

Payment of the surcharge of \$65.00 for late filing of the basic filing fee and declaration.

It is understood that this perfects the application and no additional papers or filing fees are required. A check in the amount of \$435.00 is also included to cover the fee for an automatic three-month extension of time. If there are any other

Date of Deposit

April 16, 1999

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Paula J. Romeo

charges, or any credits, please apply them to Deposit Account No.
06-1050.

Respectfully submitted,

Date: 4/16/99

Stuart Macphail
Stuart Macphail, Ph.D.
Reg. No. 44,217

Fish & Richardson P.C.
45 Rockefeller Plaza
New York, NY 10111

Telephone: 212/765-5070
Facsimile: 212/258-2291
51364.N11



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TRANSMITTAL OF DECLARATION OF SMALL ENTITY STATUS

Enclosed are two Declaration of Small Entity Status Statements which show that Applicants are independent inventors.

Please charge any required fee, or make any credits, to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

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West Wapiti

Stuart Macphail, Ph.D.
Reg. No. 44,217

Fish & Richardson P.C.
45 Rockefeller Plaza
New York, NY 10111

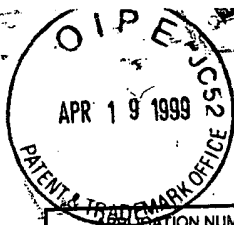
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Paula L. Romeo



22001/1/20
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
#5

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/184,572 11/02/98 MCKERRACHER

99999/MARUSY

0222/1116

WILLIAM J. HANE
FISH & RICHARDSON
SUITE 2800
45 ROCKEFELLER PLAZA
NEW YORK, NY 10111

NOT ASSIGNED

1651

DATE MAILED:

11/16/98

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 1762.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 842.00, including any multiple dependent claim fees, are required.

\$ 820.00 for 10 independent claims over 3.

\$ 22.00 for 1 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

C. Mitchell
Customer Service Center
Initial Patent Examination Division (703) 308-1202

1999 RTSEBAYE 00000076 061050

65.00 DP
380.00 DP
15.00 CH

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